# The mutagenic assessment of electronic-cigarettes and tobacco smoke using the Ames assay in strains TA98 and TA100

Thorne, D1., Crooks, I1., Hollings, M2., Seymour, A2., Meredith, C1., Gaça, M1.

<sup>1</sup>British American Tobacco, R&D Centre, Southampton, SO15 8TL, UK <sup>2</sup>Covance Laboratories Ltd, Otley Road, Harrogate, North Yorkshire HG3 1PY, UK.

# Correspondence: david\_thorne@bat.com

# Introduction

Global e-cigarette use has grown significantly over the last few years, with the environment being directed by product innovation and the requirement for larger aerosols. A simple e-cigarette comprises of a battery, microprocessor, and an e-cigarette liquid that is delivered to a coil that is heated upon activation to create an aerosol stream. E-cigarettes can be activated via puffing which triggers coil activation, or via a button. Recent advances, have seen the incorporation of larger, rechargeable batteries for more power, an e-liquid tank that can be refilled through standard or personalised mixtures, coil upgrades and variable and controllable voltage options, all of which are designed to facilitate an increase in aerosol generation and product performance.

In contrast to cigarette smoke, which has been extensively investigated, e-cigarette aerosols remain relatively poorly understood and characterised in vitro. The current understanding from the available literature suggests that e-cigarettes are significantly less harmful compared to a traditional cigarette. Some studies have demonstrated clear toxicological properties of e-cigarette test articles, whereas other have identified no activity at all. All studies appear to be in agreement that the toxicological burden is far lower for that of an e-cigarette compared to a traditional combustible cigarette.

### Aims

The Aim of this study was to assess the mutagenicity of an e-cigarette aerosol , compared to cigarette smoke in tester strains TA98 and TA100 using two different exposure matrices, TPM/eTPM (or ACM – aerosol collected matter) and whole aerosol.

# **Materials and Methods**

#### Products and Regimens

Product	Puff Regimen	Puff Volume (mLs)	Puff Frequency (secs)	Puff Duration (secs)	Puff Profile	Vent blocking	Coil pre- activation (secs)
3R4F	HCI <sup>1</sup>	55	30	2	Bell	100%	N/A
Vype <sup>®</sup> ePen	CRM 81 <sup>2</sup>	55	30	3	Square	N/A	1
HCI T-115							



# **TPM and eTPM Generation**

Total particulate matter (TPM) and e-cigarette total particulate matter (eTPM) were generated in the same manner. Particulates were captured on a Cambridge filter pad (CFP) and eluted in dimethyl sulfoxide (DMSO) to a stock concentration of 24/mg/ml

#### Whole Aerosol

A Vitrocel<sup>®</sup> VC 10 Smoking Robot was used to generate aerosol streams from a traditional reference cigarette (3R4F) and e-cigarette (Vype<sup>®</sup> ePen) (Figure 1).

#### Ames Assay

Two strains were exclusively tested in the study TA98 and TA100. For TPM and eTPM treatments plates were exposed up to 2,400  $\mu$ g/plate using plate incorporation assay parameters. For aerosol exposures, a scaled-down spread plate air agar interface (AAI) methodology was used. Bacteria were exposed under dilution airflow conditions up to 12 L/min for 3hours and incubated for 72 hours prior to revertant analysis.

Cigarette

# Figure 1: Schematic representation of products used in the study (3R4F and Vype ePen) and picture of actual Vype ePen product.

Characteristics	Product				
Characteristics	3R4F	Vype <sup>®</sup> ePen <sup>1</sup>			
Product category	Cigarette	e-cigarette			
Manufacturer	University of Kentucky (USA)	Vype <sup>®</sup> (Nicoventures, UK)			
Length (mm)	84	153			
Diameter (mm)	8	20 (10 at mouth piece)			
Nicotine content	0.7 – 2.0 mg/cig*	18 mg/mL (1.8% v:v)#			
Puff number	8-10*	250-300			
Test matrix assessed	TPM and Aerosol	eTPM and Aerosol			

<sup>1</sup>= e-cigarette, closed system modular device, operated at 4 volts with Blended Tobacco cartridges \* = dependent on smoking regimen used (ISO vs. HCI)

# as stated on the pack

TPM = total particulate matter

ACM = aerosol collected matter

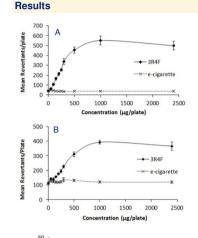
# Table 1: Shows the product specifications used in the study and exposure matrices

# **Data Evaluation and Acceptance Criteria**

- Plates were scored using an automated colony counter (Sorcerer Image Analyser, Perceptive Instruments, Haverhill, UK) and the background lawn inspected for signs of toxicity.
- Responses with positive control chemicals were compared with laboratory historical observed ranges. Observed values were comparable with historical control ranges held at Covance laboratories (Harrogate, UK) for the standard 85 mm plate assay and established ranges for the scaled-down 35 mm AAI assay.
- Data were evaluated using fold increase in revertant numbers, over the concurrent zero or air control plate counts, and analysed statistically using Dunnett's test.
- For an increase in revertant numbers to be considered as a mutagenic response, increases were required to be at least 2-fold greater than the concurrent control or statistically significant (p< 0.05) using Dunnett's test, and both concentrationrelated and reproducible over two or more independent experiments

www.bat-science.com





#### Figure 2: Response to TPM treatment in the presence of S9 metabolic activation. [A] TA98 responses to 3R4F cigarette and Vype ePen e-cigarette particulates. [B] TA100 responses to 3R4F cigarette and Vype ePen e-cigarette particulates.

BRITISH AMERICAN TOBACCO

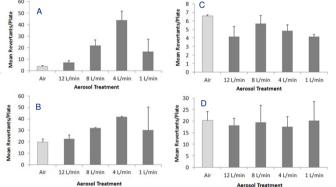


Figure 3: Response to whole aerosol treatment in the presence of S9 metabolic activation. [A - B] TA98 and TA100 responses to 3R4F cigarette smoke respectively. [C-D] TA98 and TA100 responses to Vype ePen aerosol respectively.

#### Conclusions

- This study demonstrates, compared to cigarette smoke, Vype<sup>®</sup> ePen e-cigarette particulates and aerosols were deemed negative under the test conditions assessed.
- Conversely, 3R4F cigarette smoke TPM and freshly generated whole smoke were clearly positive.
- In the case of freshly generated cigarette smoke, a positive response in both strains was observed within 24 minutes, whereas e-cigarette aerosols remained negative up to 3 hours.
- Future investigations should consider extended exposure conditions and additional tester strains.

CORESTA

not peer-reviewed by